



# **Audit and Governance Committee**

**A meeting of the Audit and Governance Committee will be held in the Jeffrey Room on Wednesday 26 January 2022 at 6.00 pm**

## **Agenda**

<b>1.</b>	<b>Apologies for Absence and Notification of Substitute Members</b>
<b>2.</b>	<b>Declarations of Interest</b> Members are asked to declare any interest and the nature of that interest which they may have in any of the items under consideration at this meeting.
<b>3.</b>	<b>Minutes</b> (Pages 5 - 10) To confirm the Minutes of the meeting of the Committee held on 10 <sup>th</sup> November 2021.
<b>4.</b>	<b>Chair's Announcements</b> To receive communications from the Chair.
<b>5.</b>	<b>Urgent Business</b> The Chair to advise whether they have agreed to any items of urgent business being admitted to the agenda.
<b>6.</b>	<b>Risk Register Deep Dive - Corporate Risks Update: Economic Recovery</b> (Pages 11 - 16)
<b>7.</b>	<b>Internal Audit 2021-22 Update Report</b> (Pages 17 - 42)
<b>8.</b>	<b>Northampton Borough Council - Annual Audit Letter 2019-2020</b> (Pages 43 - 68)

9.	<b>Northamptonshire County Council - Annual Audit Letter 2019-2020</b> (Pages 69 - 108)
10.	<b>Northamptonshire County Council - External Audit Planning Report Year Ending 2020/21</b> (Pages 109 - 164)
11.	<b>Northamptonshire County Council (NCC) - Annual Governance Statement (AGS) 2020/21</b> (Pages 165 - 194)
12.	<b>External Audit (Grant Thornton) Progress Report</b> (Pages 195 - 222)
13.	<b>Strategic Risk Register</b> (Pages 223 - 250)
14.	<b>Review of Committee Work Programme</b> (Pages 251 - 254)

Catherine Whitehead  
Proper Officer  
18 January 2022

**Audit and Governance Committee Members:**

Councillor Cecile Irving-Swift (Chair)

Councillor John Shephard (Vice-Chair)

Councillor Jamal Alwahabi

Councillor Alan Chantler

Councillor Stephen Clarke

Councillor Rosie Humphreys

Councillor Charles Manners

Councillor Jake Roberts

Councillor Danielle Stone

**Information about this Agenda**

**Apologies for Absence**

Apologies for absence and the appointment of substitute Members should be notified to [democraticservices@westnorthants.gov.uk](mailto:democraticservices@westnorthants.gov.uk) prior to the start of the meeting.

**Declarations of Interest**

Members are asked to declare interests at item 2 on the agenda or if arriving after the start of the meeting, at the start of the relevant agenda item

## **Local Government and Finance Act 1992 – Budget Setting, Contracts & Supplementary Estimates**

Members are reminded that any member who is two months in arrears with Council Tax must declare that fact and may speak but not vote on any decision which involves budget setting, extending or agreeing contracts or incurring expenditure not provided for in the agreed budget for a given year and could affect calculations on the level of Council Tax.

### **Evacuation Procedure**

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### **Mobile Phones**

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### **Queries Regarding this Agenda**

If you have any queries about this agenda please contact Sofia Neal-Gonzalez, Democratic Services via the following:

Email: [democraticservices@westnorthants.gov.uk](mailto:democraticservices@westnorthants.gov.uk)

Or by writing to:

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